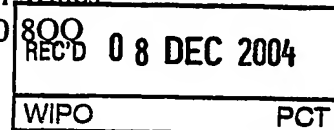




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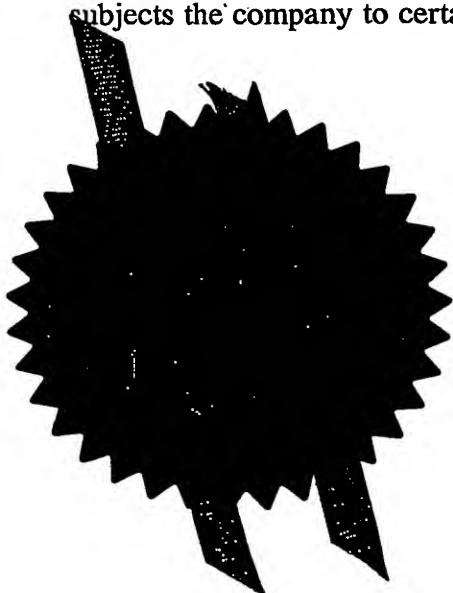
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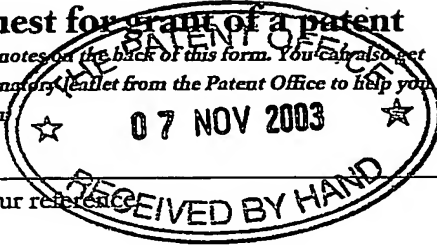
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P01/7700-0326073.4

# Request for grant of a patent

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The Patent Office

Cardiff Road  
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1. Your reference CDM/DCM/61327/000

2. Patent application number  
(The Patent Office will fill this part in)

0326073.4

7 NOV 2003

3. Full name, address and postcode of the or of each applicant (underline all surnames)

SOLEXA LIMITED  
Chesterford Research Park  
Little Chesterford  
Nr. Saffron Walden  
ESSEX CB10 1XL

Patents ADP number (if you know it)

7533698002

If the applicant is a corporate body, give the country/state of its incorporation

4. Title of the invention IMPROVEMENTS IN OR RELATING TO POLYNUCLEOTIDE ARRAYS

5. Name of your agent (if you have one) BOULT WADE TENNANT

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

VERULAM GARDENS  
70 GRAY'S INN ROAD  
LONDON  
WC1X 8BT

Patents ADP number (if you know it)

42001

6. Priority: Complete this section if you are declaring priority from one or more earlier patent applications, filed in the last 12 months.

Country

Priority application number  
(if you know it)

Date of filing  
(day / month / year)

7. Divisionals, etc: Complete this section only if this application is a divisional application or resulted from an entitlement dispute (see note f)

Number of earlier UK application

Date of filing  
(day / month / year)

8. Is a Patents Form 7/77 (Statement of inventorship and of right to grant of a patent) required in support of this request?

YES

Answer YES if:

- a) any applicant named in part 3 is not an inventor, or
- b) there is an inventor who is not named as an applicant, or
- c) any named applicant is a corporate body.

Otherwise answer NO (See note d)

Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form

Description 29

Claim(s) 6

Abstract

Drawing(s) 3 + 3

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77)

Request for substantive examination (Patents Form 10/77)

Any other documents (please specify)

11. I/We request the grant of a patent on the basis of this application.

Signature

Date

7 November 2003

12. Name and daytime telephone number of person to contact in the United Kingdom

Colin Murphy  
020-7430-7500

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Notes

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Improvements in or relating to Polynucleotide Arrays

.. FIELD OF THE INVENTION

This invention relates to the construction of arrays of  
5 polynucleotides. In particular, the invention relates to  
the preparation, and use in the formation and manipulation  
of arrays, of polynucleotides having a hairpin structure.

BACKGROUND

10 Advances in the study of molecules have been led, in  
part, by improvement in technologies used to characterise  
the molecules or their biological reactions. In particular,  
the study of nucleic acids, such as DNA and RNA, and other  
large biological molecules, such as proteins, has benefited  
15 from developing technologies used for sequence analysis and  
the study of hybridisation events.

An example of the technologies that have improved the  
study of nucleic acids is the development of fabricated  
arrays of immobilised nucleic acids. These arrays typically  
20 consist of a high-density matrix of polynucleotides  
immobilised onto a solid support material. Fodor et al.,  
*Trends in Biotechnology* (1994) 12:19-26, describe ways of  
assembling the nucleic acid arrays using a chemically  
sensitised glass surface protected by a mask, but exposed at  
25 defined areas to allow attachment of suitably modified  
nucleotides. Typically, these arrays may be described as  
"many molecule" arrays, as distinct regions are formed on  
the solid support comprising a high density of one specific  
type of polynucleotide.

30 An alternative approach is described by Schena et al.,  
*Science* (1995) 270:467-470, where samples of DNA are  
positioned at predetermined sites on a glass microscope  
slide by robotic micropipetting techniques.

A further development in array technology is the  
35 attachment of the polynucleotides to a solid support

material to form single molecule arrays (SMAs). Arrays of this type are disclosed in WO00/06770. The advantage of these arrays is that reactions can be monitored at the single molecule level and information on large numbers of single molecules can be collated from a single reaction.

Although these arrays offer particular advantages in sequencing experiments, the preparation of arrays at the single molecule level is more difficult than at the multi-molecule level, where losses of target polynucleotide can be tolerated due to the multiplicity of the array. There is, therefore, a constant need for improvements in the preparation of single molecule arrays for sequencing procedures. In particular, it is desirable to be able to attach sample polynucleotide (e.g. DNA) from solution under conditions which minimise the non-specific association of sample polynucleotide (e.g. DNA) to the solid support.

Sequencing polynucleotides on a solid support can be difficult because the polynucleotide to be sequenced is typically bound to the solid support indirectly by way of the formation of a hybrid with a support-bound complement. Conditions used in the sequencing protocol can result in disruption to the bonds formed on hybridisation and the target polynucleotide may be removed from the array. By "target polynucleotides" or "target nucleic acid" is meant herein the polynucleotide whose sequence it is desired to determine.

Accordingly, research has been directed to develop sequencing methodologies where the target nucleic acid is bound to a solid support and which address the disruption of

~~polynucleotides caused by the lability of the~~

~~support and the resulting loss of the target nucleic acid~~

~~from the support and the resulting loss of the target nucleic acid~~

~~from the support and the resulting loss of the target nucleic acid~~

~~from the support and the resulting loss of the target nucleic acid~~

The term "hairpin loop structure" refers to a molecular stem and loop formed from the hybridisation of complementary polynucleotides that are covalently linked at one end. The stem comprises the hybridised polynucleotides and the loop is the region that links the two complementary polynucleotides.

WO98/20019 discloses compositions and methods for the preparation of nucleic acid arrays. The general disclosure relates to the preparation of high density multi-molecule arrays, achieved by immobilising polynucleotides on microscopic beads attached to a solid support. Many different uses are proposed for the arrays.

WO97/08183 relates to nucleic acid capture molecules. Hairpin polynucleotide structures are disclosed as being useful as capture molecules in hybridisation-based nucleic acid detection methods.

Hairpin polynucleotides permit improved sequence analysis procedures to be conducted, since a target polynucleotide may be maintained in spatial relationship to a primer. Maintenance of the spatial relationship is made possible not only by the hydrogen bonds formed on hybridisation, but also by the tethering of a known primer to the target polynucleotide, the tether being the "loop" (see WO97/04131).

In WO97/04131, the hairpin is immobilised on a glass support by reaction between a pendant epoxide group on the glass with an internal amino group held within the loop. This method of immobilising hairpin polynucleotides on solid supports is but one of a number of linking methodologies which have been developed to date.

Zhao et al (Nucleic Acids Research, 2001, 29(4), 955-959) disclose the formation of a hairpin polynucleotide which contains multiple phosphorothioate moieties in the loop. The moieties are used to anchor, in more than one position, the hairpin DNA to glass slides pre-activated with

bromoacetamidopropylsilane. This chemistry was found to improve attachment of hairpin DNA to glass slides.

The work of Zhao developed upon earlier work of Pirrung et al (*Langmuir*, 2000, 16, 2185-2191) in which the authors report that 5'-thiophosphate-terminating oligonucleotides could be attached to glass, pre-activated with mono- and dialkoxylated silanes and bromoacetamide.

Phosphorothioate coupling chemistry works well where the solution applied is dried down onto the support.

However, the conditions under which phosphorothioate coupling is effected are not applicable in to the preparation of SMAs. This is because when drying down the applied solution in the protocol used for phosphorothioate coupling, this may take place non-uniformly. This is the case when oligonucleotides are spotted onto preactivated glass, for example as taught by Zhao (*infra*) where small volumes (0.7 nl) are used. Accordingly, clustering can take place on the surface of the support which is clearly undesirable in the preparation of a SMA.

#### SUMMARY OF THE INVENTION

The present invention is based on the surprising finding that when hairpin polynucleotides are attached to a solid support, e.g. for use in the preparation of SMAs, by reaction of a sulfur-based nucleophile with the solid support, improved adhesion to the solid support is effected as compared to attachment through backbone phosphorothioate moieties. The sulfur-based nucleophile may be directly attached to the hairpin although it is preferably indirectly

Viewed from a first aspect, therefore, the invention provides a hairpin polynucleotide, having a loop and a stem region, characterised in that a sulfur-based nucleophile is attached to an internal nucleotide in the hairpin through a linker to enable binding to a solid support.

In another aspect, the invention provides a method of making a hairpin polynucleotide, having a loop and a stem region, having a sulfur-based nucleophile attached to an internal nucleotide in the hairpin through a linker to enable binding to a solid support, which method comprises incorporating the sulfur-based nucleophile into said internal nucleotide before, after or during formation of the hairpin polynucleotide, particularly before or during formation.

In a further aspect, the invention provides an array of hairpin polynucleotides as described herein immobilised on a surface of a solid support by reaction between the sulfur-based nucleophile and the surface of the solid support.

In an even further aspect, the invention provides a method of making an array of hairpin polynucleotides, having a loop and a stem region, comprising the steps of:

(i) preparing a plurality of hairpin polynucleotides as described herein; and

(ii) immobilising said hairpin polynucleotides on a surface of a solid support so as to form said array.

Additionally, in another aspect, the invention provides a device comprising an array of hairpin polynucleotides as described herein.

The invention also provides the use of such a device in the interrogation of said polynucleotides comprising an array of hairpin polynucleotides.

#### DESCRIPTION OF THE DRAWINGS

Fig. 1 shows, schematically, exemplary structures of portions of hairpin polynucleotides according to the



invention in which the terminal sulfur-based nucleophile shown (either a thiophosphate or a thiophosphoramidate) is attached through a linker either to the base of a nucleotide or the sugar of an abasic nucleotide.

5 Fig. 2 shows fluor image visualisations of an immobilised branched DNA of the invention (spot A) and two other DNAs (spot B and C).

Fig. 3 shows total internal reflection microscopic images of a DNA of the invention (D) and a further DNA (spot  
10 E).

#### DETAILED DESCRIPTION

As used herein, the term 'polynucleotide' refers to nucleic acids in general, including DNA (e.g. cDNA), RNA  
15 (e.g. mRNA) and synthetic analogs, e.g. PNA or 2'-O-methyl-RNA. DNA is preferred.

The term SMA as used herein refers to a population of polynucleotide molecules, distributed (or arrayed) over a solid support, wherein the spacing of any individual  
20 polynucleotide from all others of the population is such that it is possible to effect individual resolution, or interrogation, of the polynucleotides.

As discussed in part earlier, the polynucleotides of the invention are of hairpin loop structure. Anything from  
25 a 5 to 25 (or more) base pair double-stranded (duplex) region may be used to form the stem.

In one embodiment, the stem structure may be formed from a single-stranded polynucleotide having complementary regions. The loop in this embodiment may be anything from 2

~~to 25 nucleotides long. In a second embodiment,~~

~~the loop may be anything from 2 to 25 nucleotides long.~~

~~In a third embodiment, the loop may be anything from 2 to 25 nucleotides long.~~

~~In a fourth embodiment, the loop may be anything from 2 to 25 nucleotides long.~~

~~In a fifth embodiment, the loop may be anything from 2 to 25 nucleotides long.~~

~~In a sixth embodiment, the loop may be anything from 2 to 25 nucleotides long.~~

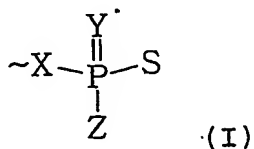
polynucleotides. Connecting moieties suitable for use in this embodiment will be apparent to the skilled person. For example, the connecting moiety may comprise polyethylene glycol (PEG).

5 Those skilled in the art will appreciate that the loop may alternatively comprise a combination of non-hybridised polynucleotide moieties and suitable connecting moieties. Thus, as an example, a loop could be formed from a modified nucleotide residue (e.g. an abasic nucleotide) flanked by  
10 regions of PEG, for example, by two 18-atom hexaethylene glycol (heg) spacers.

The hairpin polynucleotides of the invention are characterised in that a sulfur-based nucleophile is neither attached to the phosphate backbone between adjacent  
15 nucleotides nor the terminal positions of the hairpin nucleotide hairpin. Preferably attachment is at one or more positions in the loop region of the hairpin.

It is within the scope of this invention for each hairpin polynucleotide to contain more than one sulfur-based  
20 nucleophile all or some of which, preferably all of which, are attached through a linker to the hairpin polynucleotide. Most preferably, each hairpin polynucleotide contains only one sulfur-based nucleophile, preferably a thiophosphate.

The sulfur-based nucleophiles which, in part,  
25 characterise the various aspect of this invention are not particularly restricted. The sulfur-based nucleophile may thus be a simple thiol (~SH wherein ~ denotes the bond or linker connecting the thiol to the remainder of the polynucleotide). Further examples of sulfur-based  
30 nucleophiles include a moiety of the formula (I):



(wherein ~ denotes the bond or linker connecting the sulfur-based nucleophile to the remainder of the polynucleotide; X represents an oxygen atom, a sulfur atom or a group NR, in which R is hydrogen or an optionally substituted C<sub>1-10</sub> alkyl; Y represents an oxygen or a sulfur atom; and Z represents an oxygen atom, a sulfur atom or an optionally substituted C<sub>1-10</sub> alkyl group).

Preferred moieties of formula (I) are those in which X is oxygen or sulfur, preferably oxygen. Where X is a group NR, R is preferably hydrogen. Y is preferably oxygen. Z is preferably an oxygen or sulfur atom or a methyl group, particularly preferably an oxygen atom.

In all aspects of the invention, the preferred sulfur-based nucleophile is thiophosphate although it is to be understood that the invention is not so limited since the other sulfur-based nucleophiles described are also of utility, for example thiophosphoramidates.

Where alkyl (including cycloalkyl) groups are substituted, examples of appropriate substituents include halogen substituents or functional groups such as hydroxyl, amino, cyano, nitro, carboxyl and the like.

The linker molecule can be any moiety that results in a sulfur-based nucleophile, e.g. a primary thiophosphate. An example of how this might be achieved is by the presence of a modified nucleotide such as an abasic nucleotide, preferably in the loop. In an abasic nucleotide, a sulfur-based nucleophile may be attached to the 1'-carbon atom of the ribose (in place of the missing base). Alternatively, the sulfur-based nucleophile may be attached to the base of

as "Oligo"), and structures 2 and 4 show attachment of sulfur-based nucleophile through linkers attached to bases.

Particularly preferred hairpin nucleotides according to this invention are those in which the loop comprises non-hybridised nucleotides and the sulfur-based nucleophile is attached to such nucleotides through a linker moiety. Appropriate nucleotides in such embodiments include modified nucleotides in which the linker attached to the base of the nucleotide. The base may be any base present in nucleotides but will typically be one of the four major bases: adenine, guanine, cytosine and uracil, particularly uracil.

Generally, a linker is present in the hairpin nucleotides of the invention. The linker may be a carbon-containing chain such as those of formula  $(CH_2)_n$  wherein "n" is from 1 to about 1500, for example less than about 1000, preferably less than 100, e.g. from 2-50, particularly 5-25. However, a variety of other linkers may be employed with the only restriction placed on their structures being that the linkers are stable under conditions used in DNA sequencing.

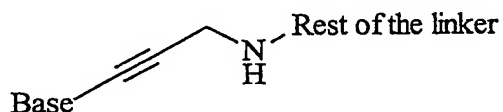
Linkers which do not consist only of carbon atoms may be used. Such linkers include polyethylene glycol (PEG) having general formula  $(CH_2-CH_2-O)_m$  wherein m is from about 1 to 600, preferably less than about 500.

Linkers formed primarily from chains of carbon atoms and from PEG may be modified so as to contain functional groups which interrupt the chains. Examples of such groups include ketones, esters, amines, amides, ethers, thioethers, sulfoxides, sulfones. Separately or in combination with the presence of such functional groups may be employed alkene, alkyne, aromatic or heteroaromatic moieties, or cyclic aliphatic moieties (e.g. cyclohexyl). Cyclohexyl or phenyl rings may, for example, be connected to a PEG or  $(CH_2)_n$  chain through their 1- and 4-positions.

Examples of appropriately modified linkers are those of formula  $(CH_2)_n$  (wherein n is as defined above) and in which

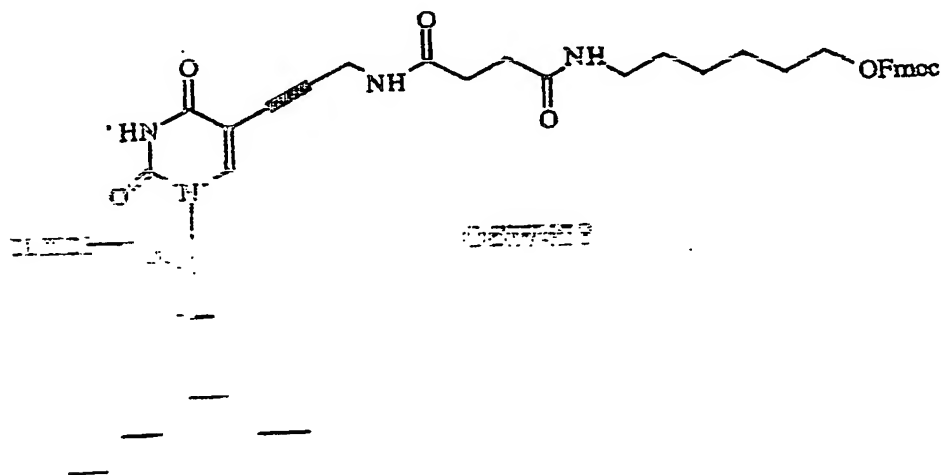
one or more CH<sub>2</sub> units are replaced with functional groups). Thus, one or more CH<sub>2</sub> units may be exchanged for an oxygen to form an ether, or for a SO<sub>2</sub> to form a sulfone etc. One or more CH<sub>2</sub> units may be exchanged for an amide moiety or alkene or alkyne unit. In such linkers one or more functional groups may be present; these functional groups may or may not be the same as each other.

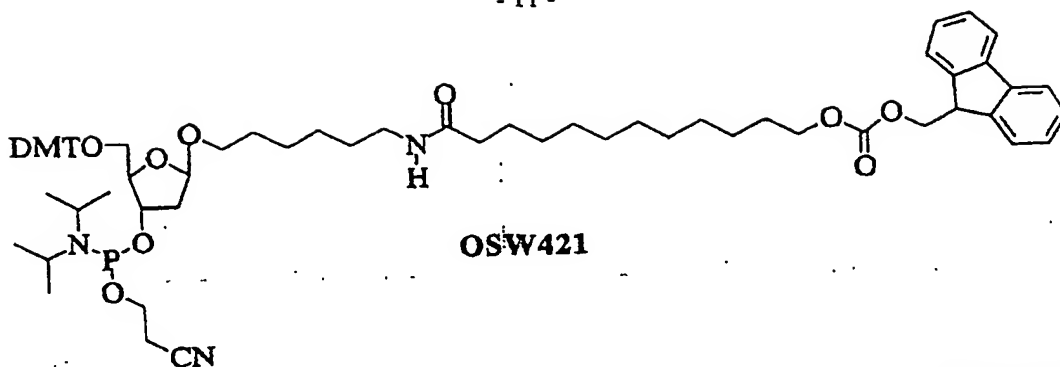
Linkers of particular interest contain the propargylamino unit attached to the base (e.g. uracil) in a modified nucleotide. Such nucleotides contain the following unit:



The amino group may be connected to the remainder of the linker by formation of an amide bond.

Modified nucleotides are commercially available, e.g. from the DNA synthesis company Oswel. Such nucleotides include 3'OH capped nucleotides which may be abasic where a capped linker is attached at the 1' carbon atom or contain a base to which a capped linker is attached. Two such modified nucleotides are Oswel products OSW428 and OSW421:





Those skilled in the art will be aware how to deprotect the fluorenylmethoxycarbonyl (Fmoc) group shown capping the linker in the nucleotides shown above and to effect terminal modification, e.g. thiophosphorylation, of the linker.

As an alternative to the linkers described above, which are primarily based on linear chains of saturated carbon atoms, optionally interrupted with unsaturated carbon atoms or heteroatoms other linkers may be envisaged which are based on nucleic acids or monosaccharide units (e.g. dextrose). It is also within the scope of this invention to utilise peptides as linkers.

Longer linker moieties serve (e.g. those containing a chain or more than 100 atoms, particularly those in excess of 500 or even 1000 atoms) serve to position the oligonucleotide further away from the solid support. This places the oligonucleotide (e.g. DNA) in a environment more resembling free solution which can be beneficial, for example, in any enzyme-mediated reactions effected to the oligonucleotide. This is because such reactions suffer less from the steric hindrance which manifests itself where the oligonucleotide is directly attached to the support or is indirectly attached through a very short linker (such as one comprising a chain or only several, e.g. about 1 to 3 carbon atoms).

As is known, by incorporating the means of attaching the hairpin polynucleotide to a support internally, this leaves both the 3' and 5' ends of the polynucleotide free

for use in subsequent interrogations either before or after binding of the hairpin polynucleotide to the support.

The hairpin polynucleotides in addition to a sulfur-based nucleophile preferably comprise a polynucleotide duplex which may be used to retain a primer and a target polynucleotide in spatial relationship. Preferably the target polynucleotide is present at the 5' end and the primer is present at the 3' end although hairpin polynucleotides where the primer is present at the 5' end and the target polynucleotide is present at the 3' end are also embraced by this invention.

As used herein, the term "interrogate" refers to the target polynucleotide functioning as a template upon which DNA polymerase acts. In other words, "interrogating" means contacting the target polynucleotides with another molecule, e.g., a polymerase, a nucleoside triphosphate, a complementary nucleic acid sequence, wherein the physical interaction provides information regarding a characteristic of the arrayed target polynucleotide. The contacting can involve covalent or non-covalent interactions with the other molecule. As used herein, "information regarding a characteristic" means information about the sequence of one or more nucleotides in the target polynucleotide, the length of the polynucleotide, the base composition of the polynucleotide, the  $T_m$  of the polynucleotide, the presence of a specific binding site for a polypeptide or other molecule, the presence of an adduct or modified nucleotide, or the three-dimensional structure of the polynucleotide.

The spatial relationship between primer and target polynucleotide present in hairpin polynucleotides is such

hairpin structure, to the solid support, ensures that the primer is able to perform its priming function during a polymerase-based sequencing procedure, and is not removed during any washing step in the procedure.

5        There are many different ways of forming the hairpin structure so as to incorporate the target polynucleotide. A preferred method is to form a first molecule (which may contain a non-backbone sulfur-based nucleophile attached through a linker) capable of forming a hairpin structure, and ligate the target polynucleotide to this. It is possible to ligate any desired target polynucleotide to the hairpin construct before or after arraying the hairpins on the solid support. Alternatively, a first polynucleotide may be ligated before arraying and a second ligated after arraying. It is, of course, also possible to introduce the sulfur-based nucleophile after such a ligation.

10        Where a target polynucleotide is a double-stranded DNA, this may be attached to the stem of the hairpin by ligating one strand to the hairpin polynucleotide and removing the other strand after the ligation.

20        In one embodiment, the target polynucleotide is genomic DNA purified using conventional methods. The genomic DNA may be PCR-amplified or used directly to generate fragments of DNA using either restriction endonucleases, other suitable enzymes, a mechanical form of fragmentation or a non-enzymatic chemical fragmentation method. In the case of fragments generated by restriction endonucleases, hairpin structures bearing a complementary restriction site at the end of the first hairpin may be used, and selective ligation of one strand of the DNA sample fragments may be achieved by one of two methods.

30        Method 1 uses a hairpin containing a phosphorylated 5' end. Using this method, it may be necessary to first dephosphorylate the restriction-cleaved genomic or other DNA



fragments prior to ligation such that only one sample strand is covalently ligated to the hairpin.

Method 2: in the design of the hairpin, a single (or more) base gap can be incorporated at the 3' end (the receded strand) such that upon ligation of the DNA fragments only one strand is covalently joined to the hairpin. The base gap can be formed by hybridising a further separate polynucleotide to the 5'-end of the first hairpin structure. On ligation, the DNA fragment has one strand joined to the 5'-end of the first hairpin, and the other strand joined to the 3'-end of the further polynucleotide. The further polynucleotide (and the other strand of the fragment) may then be removed by disrupting hybridisation.

In either case, the net result should be covalent ligation of only one strand of a DNA fragment of genomic or other DNA to the hairpin. Such ligation reactions may be carried out in solution at optimised concentrations based on conventional ligation chemistry, for example, carried out by DNA ligases or non-enzymatic chemical ligation. Should the fragmented DNA be generated by random shearing of genomic DNA or polymerase, then the ends can be filled in with Klenow fragment to generate blunt-ended fragments which may be blunt-end-ligated onto blunt-ended hairpins.

Alternatively, the blunt-ended DNA fragments may be ligated to oligonucleotide adapters which are designed to allow compatible ligation with the sticky-end hairpins, in the manner described previously.

Once formed, one or a plurality of sulfur-based nucleophile-bearing hairpin polynucleotides may be bound directly or indirectly to a solid support, immobilising them.

The precise density of the arrays is not critical. Provided single molecule resolution may be effected, in fact, the higher the density of hairpin polynucleotide molecules arrayed the better since more information may be obtained from any one experiment. For example, there may be at least  $10^3$  molecules/cm<sup>2</sup>, preferably at least  $10^5$  molecules/cm<sup>2</sup> and most preferably  $10^6$ - $10^9$  molecules/cm<sup>2</sup>. Particularly preferably, the density of sample molecules is at least  $10^7$ /cm<sup>2</sup>, typically it is approximately  $10^8$ - $10^9$ /cm<sup>2</sup>.

Such "high density" arrays are in contrast to those arrays such as those so described in the prior art which are not necessarily as high or, e.g. in the many molecule arrays of Fodor et al (*infra*), are too high to allow single molecule resolution. By arraying the polynucleotides at a density that they can be considered to be single molecules, i.e. each can be individually resolved, a SMA is created.

The terms "individually resolved" and "individual resolution" are used herein to specify that, when visualised, it is possible to distinguish one molecule on the array from its neighbouring molecules. Separation between individual molecules on the array will be determined, in part, by the particular technique used to resolve the individual molecules. It will usually be the target-polynucleotide portion that is individually resolved, as it is this which will be interrogated, e.g. by the incorporation of detectable bases.

Bonding between support and hairpin polynucleotide may be effected once the surface of the support has been modified with an activating group so that it possesses surface functionality capable of forming a bond with the sulfur-based nucleophile, or improving the ability of the surface to do so.

There is no particular limitation placed upon the solid support to which the hairpin polynucleotides of the invention may be attached. Suitable solid supports are

available commercially, and will be apparent to the skilled person. The solid support may be any of the conventional supports used in "DNA chips" and can be manufactured from materials such as glass, ceramics, silica, silicon or plastics materials. Supports with a gold surface may also be used. The supports usually comprise a flat (planar) surface, such as a glass slide, or at least a structure in which the polynucleotides to be interrogated are in approximately the same plane. Alternatively, the solid support can be non-planar, e.g., a microbead or polymeric (such as plastics) support. Any suitable size may be used. For example, the supports might be on the order of 1-10 cm in each direction. The target polynucleotide may be any nucleic acid (single- or double-stranded).

In general, the surface of the support is engineered such that it displays an electrophilic group. Thus, a first step in the fabrication of arrays of hairpin polynucleotides will usually be to functionalise the surface of the solid support, to make it suitable for attachment of the polynucleotides. For example, silicon-containing moieties have been used previously to attach molecules to a solid support material, usually a glass slide.

Appropriate surface modifications will be known to those in the art and include, for example the coating of glass with siloxanes. Particularly preferred are the monolkoxylated and dialkoxylated silanes/bromoacetamide protocol set forth by Pirrung et al (*infra*).

In one embodiment, the surface is modified so that it in part comprises a silane of formula  $R_nSiX_{(3-n)}$  (where R is

diethoxydimethylsilane or glycidoxypropyltriethoxysilane, although many other suitable examples will be apparent to the skilled person. Preferred is a mixture of tetraethoxysilane and triethoxysilylpropyl(bromoacetamide).

5 However the precise nature of the surface modification is not of particular importance to this invention so long as the surface is rendered capable of bonding to (e.g. forming a covalent bond on reaction with) the sulfur-based nucleophile in the hairpin polynucleotide.

10 Immobilisation of the polynucleotides to the solid support may be carried out by any method known in the art, provided that covalent attachment is achieved. Thus, the single molecule array may be prepared by contacting a suitably prepared solid support with a dilute solution  
15 containing the polynucleotides to be arrayed. Appropriate concentrations of solutions in this regard will depend upon factors such as the reaction between each individual sulfur-based nucleophile in the polynucleotide and the surface to which it is attached.

20 Once formed, the arrays may be used in procedures to determine the sequence of the target polynucleotide. For example, the arrays may be used to determine the properties or identities of cognate molecules. Typically, interaction of biological or chemical molecules with the arrays are  
25 carried out in solution.

In particular, the arrays may be used in conventional assays which rely on the detection of fluorescent labels to obtain information on the arrayed polynucleotides. The arrays are particularly suitable for use in multi-step  
30 assays where the loss of synchronisation in the steps was previously regarded as a limitation to the use of arrays. The arrays may be used in conventional techniques for obtaining genetic sequence information. Many of these techniques rely on the stepwise identification of suitably

labelled nucleotides, referred to in US Patent No. 5,654,413 as "single base" sequencing methods.

In an embodiment of the invention, the sequence of a target polynucleotide is determined in a similar manner to that described in US Patent No. 5,654,413, by detecting the incorporation of nucleotides into the nascent strand through the detection of a fluorescent label attached to the incorporated nucleotide. The target polynucleotide is primed with a suitable primer (or prepared as a hairpin construct which will contain the primer as part of the hairpin), and the nascent chain is extended in a stepwise manner by the polymerase reaction. Each of the different nucleotides (A, T, G and C) incorporated a unique fluorophore at the 3' position which acts as a blocking group to prevent uncontrolled polymerisation. The polymerase enzyme incorporates a nucleotide into the nascent chain complementary to the target polynucleotide, and the blocking group prevents further incorporation of nucleotides. The array surface is then cleared of unincorporated nucleotides and each incorporated nucleotide is "read" optically by a charge-coupled device using laser excitation and filters. The 3'-blocking group is then removed (deprotected), to expose the nascent chain for further nucleotide incorporation.

Similarly, US Patent No. 5,302,509 discloses a method to sequence polynucleotides immobilised on a solid support. The method relies on the incorporation of fluorescently-labelled, 3'-blocked bases A, G, C and T to the immobilised polynucleotide, in the presence of DNA polymerase. The

~~polymerase incorporates base complementary to the target~~  
~~polynucleotide. The 3'-blocking group is then removed~~  
~~(deprotected), to expose the nascent chain for~~  
~~further nucleotide incorporation.~~

Because the array consists of distinct optically resolvable polynucleotides, each target polynucleotide will generate a series of distinct signals as the fluorescent events are detected. Details of the full sequence are then determined.

The term "individually resolved by optical microscopy" is used herein to indicate that, when visualised, it is possible to distinguish at least one polynucleotide on the array from its neighbouring polynucleotides using optical microscopy methods available in the art. Visualisation may be effected by the use of reporter labels, e.g., fluorophores, the signal of which is individually resolved.

Other suitable sequencing procedures will be apparent to the skilled person. In particular, the sequencing method may rely on the degradation of the arrayed polynucleotides, the degradation products being characterised to determine the sequence.

An example of a suitable degradation technique is disclosed in WO95/20053, whereby bases on a polynucleotide are removed sequentially, a predetermined number at a time, through the use of labelled adaptors specific for the bases, and a defined exonuclease cleavage.

A consequence of sequencing using non-destructive methods is that it is possible to form a spatially addressable array for further characterisation studies, and therefore non-destructive sequencing may be preferred. In this context, the term "spatially addressable" is used herein to describe how different molecules may be identified on the basis of their position on an array.

In the case that the target polynucleotide fragments are generated via restriction digest of genomic DNA, the recognition sequence of the restriction or other nuclease enzyme will provide 4, 6, 8 bases or more of known sequence (dependent on the enzyme). Further sequencing of between 10 and 20 bases on the SMA should provide sufficient overall

sequence information to place that stretch of DNA into  
unique context with a total human genome sequence, thus  
enabling the sequence information to be used for genotyping  
and more specifically single nucleotide polymorphism (SNP)  
5 scoring.

The sequencing method that is used to characterise the  
bound target may be any known in the art that measures the  
sequential incorporation of bases onto an extending strand.  
A suitable technique is disclosed in US Patent No. 5,302,509  
10 requiring the monitoring of sequential incorporation of  
fluorescently-labelled bases onto a complement using the  
polymerase reaction. Alternatives will be apparent to the  
skilled person. Suitable reagents, including fluorescently-  
labelled nucleotides will be apparent to the skilled person.

15 Thus the devices into which the arrays of this  
invention may be incorporated include, for example, a  
sequencing machine or genetic analysis machine.

The single polynucleotides immobilised onto the surface  
of a solid support should be capable of being resolved by  
20 optical means. This means that, within the resolvable area  
of the particular imaging device used, there must be one or  
more distinct signals, each representing one polynucleotide.  
Typically, the polynucleotides of the array are resolved  
using a single molecule fluorescence microscope equipped  
25 with a sensitive detector, e.g., a charge-coupled device  
(CCD). Each polynucleotide of the array may be imaged  
simultaneously or, by scanning the array, a fast sequential  
analysis can be performed.

The extent of separation between the individual  
30 polynucleotides on the array will be determined, in part, by  
the resolution of the imaging device used. The resolution  
of the imaging device will be determined by the wavelength  
of the light used and the numerical aperture of the objective  
lens. The resolution of the imaging device will be determined  
by the resolution of the imaging device used.

incorporated on the individual polynucleotide by fluorescence. Alternatively, a sensitive 2-D detector, such as a charge-coupled device, can be used to provide a 2-D image representing the individual polynucleotides on the array

5 "Resolving" single polynucleotides on the array with a 2-D detector can be done if, at 100 x magnification, adjacent polynucleotides are separated by a distance of approximately at least 250 nm, preferably at least 300 nm and  
10 more preferably at least 350 nm. It will be appreciated that these distances are dependent on magnification, and that other values can be determined accordingly, by one of ordinary skill in the art.

Other techniques such as scanning near-field optical  
15 microscopy (SNOM) are available which are capable of greater optical resolution, thereby permitting more dense arrays to be used. For example, using SNOM, adjacent polynucleotides may be separated by a distance of less than 100 nm, e.g., 10 nm. For a description of scanning near-field optical  
20 microscopy, see Moyer et al., *Laser Focus World* (1993) 29(10).

An additional technique that may be used is surface-specific total internal reflection fluorescence microscopy (TIRFM); see, for example, Vale et al., *Nature* (1996)  
25 380:451-453). Using this technique, it is possible to achieve wide-field imaging (up to 100  $\mu\text{m}$  x 100  $\mu\text{m}$ ) with single molecule sensitivity. This may allow arrays of greater than  $10^7$  resolvable polynucleotides per  $\text{cm}^2$  to be used.

30 Additionally, the techniques of scanning tunnelling microscopy (Binnig et al., *Helvetica Physica Acta* (1982) 55:726-735) and atomic force microscopy (Hansma et al., *Ann. Rev. Biophys. Biomol. Struct.* (1994) 23:115-139) are suitable for imaging the arrays of the present invention.  
35 Other devices which do not rely on microscopy may also be



used, provided that they are capable of imaging within discrete areas on a solid support.

Once sequenced, the spatially addressed arrays may be used in a variety of procedures which require the characterisation of individual molecules from heterogeneous populations.

The following examples, with reference to Figures 2 and 3, illustrate the invention but in no way are intended to restrict its scope.

#### Example 1: Use of thiophosphate as the sulfur-based nucleophile

##### Preparation of the slides

Glass slides were transferred into racks and washed with agitation and without drying between stages as follows: overnight in detergent (Decon 90), rinse (water), overnight in 1 M NaOH, rinse (water), 15 minutes in 0.1 M HCl, rinse (water), and then stored in ethanol.

##### Slide functionalization

A solution of 0.2% total silane, as a mixture of tetraethoxysilane and triethoxysilylpropyl (bromoacetamide) at 100:1 in 95% aqueous ethanol (adjusted to approximately pH 4.5 with 5% H<sub>2</sub>SO<sub>4</sub>) was prepared. Hydrolysis of the silanes and silanol formation took place during a 5 minute preincubation step with sonication. The cleaned slides were immersed in the silane solution for 6 minutes before they were removed and washed with isopropanol. The slides were then dried under an argon stream and cured in an oven at 110 °C for 2 hours.

thiophosphate modifications were covalently attached from solution (0.1 M potassium phosphate buffer pH 7.0) for 15 minutes at ambient temperature. The terminal thiophosphate modification was attached during oligonucleotide synthesis through an abasic nucleoside phosphoramidite and used as supplied (Oswel). Backbone phosphorothioate DNA was synthesized using phosphoramidite chemistry and used as supplied (Oswel). Control DNAs with no thiophosphate modification were modified with a C6 amine group.

Post-immobilization, the slides were rigorously washed by vortexing (20 seconds each step) in MilliQ grade water, 10 mM Tris pH 8.0, 10 mM EDTA solution at 95°C, MilliQ grade water before drying under argon.

Three cy3 fluorescently labelled sample DNAs were applied from 0.1 M potassium phosphate buffer pH 7.0 which were visualised using a fluor imager, represented in Figure 1, in which:

Spot A corresponds to a branched hairpin DNA with terminal thiophosphate;

Spot B corresponds to a hairpin DNA with four phosphorothioate backbone modifications; and

Spot C corresponds to a single amine modification (negative control).

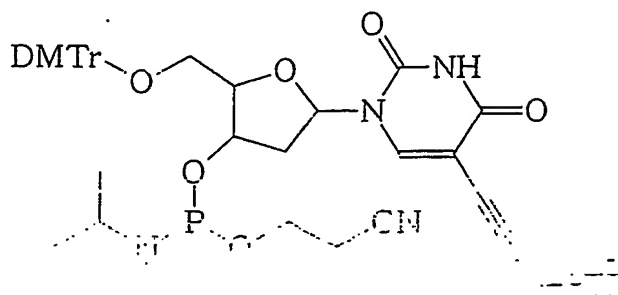
Figure 2 demonstrates the comparative coupling efficiencies of the three DNAs. Under the reaction conditions described there is an increased signal from terminal (branched) thiophosphate (A) over backbone phosphorothioate (B) on a bromoacetylated slide. This is due to either less steric hindrance or increased reactivity of the thiophosphate moiety over the phosphorothioate moiety buried in the backbone of the DNA. Under these application conditions there is minimal non-specific association of the control (amine-terminated; C) DNA with the substrate.

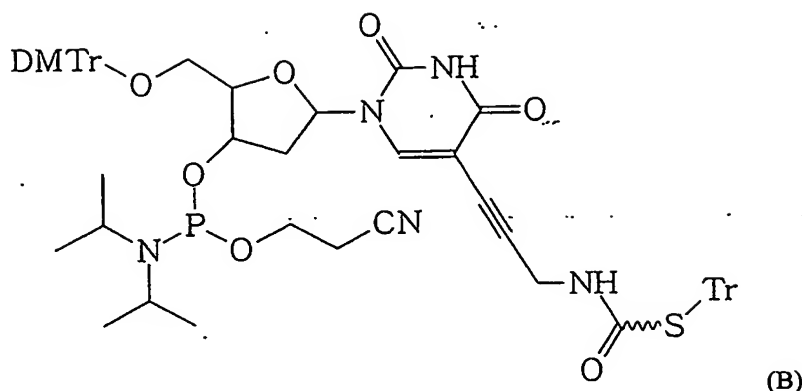
Diluting the proportion of reactive silane to 1 part bromoacetamide in 10000 tetraethoxy gave slides suitable for single molecule analysis. Figure 3 shows a total internal reflection microscopy image of single molecule of two  
5 different DNA species. Images D and E both show images of 5nM Cy3-prelabeled DNA coupled for 15 minutes at room temperature respectively. Image D contains hydroxyl-terminating DNA and image E shows thiophosphate-terminating DNA. The larger number of spots in image E shows both that  
10 the terminal thiophosphate DNA couples more efficiently than the control (image E) and that the coupled molecules are resolvable at the single molecule level.

Example 2: Use of thiol as sulfur-based nucleophile

15 Slides are prepared and functionalised as described in Example 1. Thereafter oligonucleotides with terminal thiol modification are covalently attached to the slides under conditions as described in Example 1.

20 The oligonucleotides with terminal thiol modification are prepared by incorporation into the hairpin of the following nucleotides (A) and (B), which are exemplary of those which contain protected terminal thiol functionality:



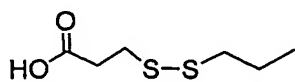


The nucleotides (A) and (B) above can be used to prepare hairpin DNA containing an internal thiol. The abasic version can also be used. The lines ~~~~ in each of structures (A) and (B) indicate either a direct bond between the sulfur atom and the carbonyl group or a linking moiety connecting the sulfur atom and the carbonyl group.

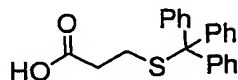
During oligonucleotide synthesis, nucleotides (A) and (B) can be used as conventional monomers to incorporate a protected thiol functionality. After synthesis, the thiol protecting group in nucleotides (A) is removed by dithiothreitol (DTT) to give the free thiol in solution; similarly the trityl group in (B) is removed by silver nitrate. Examples of (A) and (B) can then be used in the same conditions as the thiophosphate hairpin described in Example 1 to couple to the bromoacetamide surface.

Where the lines ~~~~ in each of structures (A) and (B) indicate a direct bond, compounds (II) and (III) respectively are defined and their syntheses are now described:

#### Part A: Preparation of precursor acids (IV) and (V):

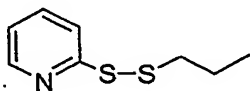


(IV)



(V)

Propanethiol (3 mmol, 0.23 g) was added dropwise to a solution of aldrithiol (6 mmol, 1.32 g) in 15 mL methanol (MeOH). After 1.5 h the reaction had gone to completion and the solvent was evaporated. The crude product (VI)



(VI)

was purified by chromatography on silica with ethyl acetate:petroleum ether (1:4). MW 185.3

10

15 Mercaptopropionic acid (2.06 mmol, 0.22 g) was added to a solution of (VI) (3.27 mmol, 0.60 g) in 20 mL MeOH. The mixture was stirred for 2.5 h and the solvent was removed under reduced pressure. The crude acid (IV) was purified by chromatography on silica with  $\text{CHCl}_3$ :MeOH:acetic acid(AcOH) (15:1:0.5) as the solvent mixture. MW 180.3

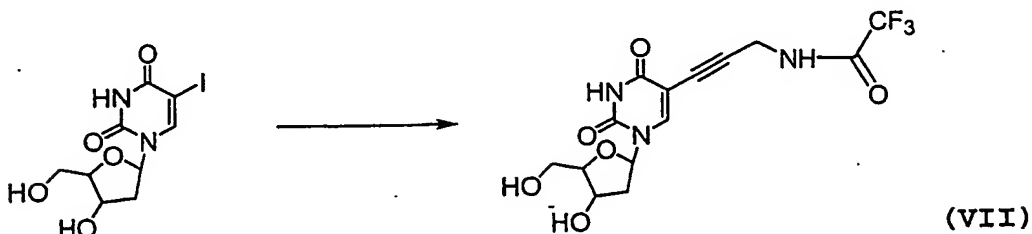
20

Mercaptopropionic acid (2.06 mmol, 0.22 g) was added to a solution of trityl chloride (3.09 mmol, 0.86 g) in tetrahydrofuran (THF)/triethylamine (99:1, 50 mL). The mixture was stirred for 6 h and the solvent was removed under reduced pressure. The crude acid (V) was purified by chromatography on silica with  $\text{CHCl}_3$ : MeOH (19:1) as the solvent mixture. MW 348.5.

25

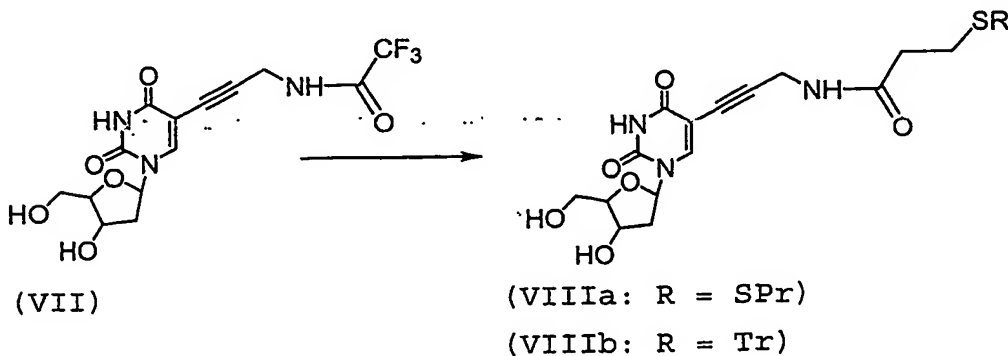
Part B: Preparation of nucleotides (II) and (III):

Preparation of 5-[3-(2,2,2-trifluoroacetamido)-prop-1-ynyl]-2'-deoxyuridine (VII)



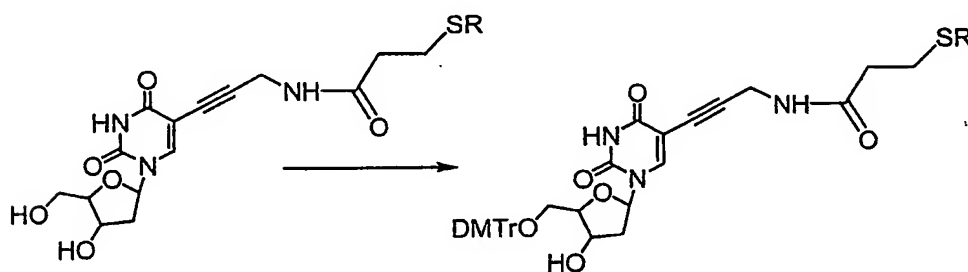
To a solution of 5-iodo-2'-deoxyuridine (1.05 g, 2.96 mmol) and CuI (114 mg, 0.60 mmol) in dry dimethylformamide (DMF) (21 ml) was added triethylamine (0.9 ml). After stirring for 5 min trifluoro-*N*-prop-2-ynyl-acetamide (1.35 g, 9.0 mmol) and Pd(PPh<sub>3</sub>)<sub>4</sub> (330 mg, 0.29 mmol) were added to the mixture and the reaction was stirred at room temperature in the dark for 16 h. MeOH (40 ml) and bicarbonate dowex added to the reaction mixture and stirred for 45 min. The mixture was filtered and the filtrate washed with MeOH and the solvent was removed under vacuum. The crude mixture (VII) was purified by chromatography on silica ethyl acetate (EtOAc) to EtOAc:MeOH 95:5). MW 377.3

Preparation of (VIIIa) and (VIIIb)



The trifluoroacetamidyl group was removed using aqueous ammonia immediately prior to use. The ammonia solution was removed and the material was re-suspended in DMF. The appropriate acid ((IV) or (V) prepared in Part A) was suspended in DMF with one equivalent of 1,3-dicyclohexylcarbodiimide (DCC) and two equivalents of N-hydroxy succinimide. The activation was stirred at room temperature for 1 h and the amino nucleoside added (1 equivalent). The reaction was stirred for 12 h, the solvents removed and the material purified by silica chromatography. In both cases the material was eluted with  $\text{CHCl}_3/\text{MeOH}$  19:1. MW 611.7 (VIIIb); 443.5 (VIIIa).

#### Preparation of (IXa) and (IXb)



(VIIIa: R = SPr)

(IXa: R = SPr)

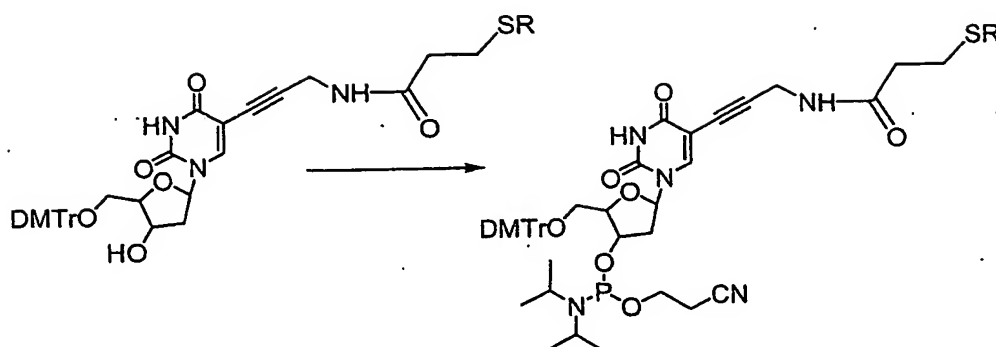
(VIIIb: R = Tr)

(IXb: R = Tr)

The nucleoside (VIIIa) or (VIIIb) (1 mmol) was dissolved in pyridine (20 mL). Dimethoxytrityl chloride (1.2 mmol, 0.41 g) was added and the reaction was stirred at room temperature for 4 h. The solvent was removed and the material purified by silica chromatography. (IXa) or (IXb)

was eluted with  $\text{CHCl}_3/\text{MeOH}$  19:1.

Preparation of (II) and (III):



(IXa: R = SPr)

(II: R = SPr)

(IXb: R = Tr)

(III: R = Tr)

The protected nucleoside ((IXa) or (IXb)) (0.5 mmol) and diisopropylammonium tetrazolide (0.25 mmol, 0.043 g) were dissolved in dry dichloromethane (5 mL).

Bis(diisopropylamino)2-cyanoethoxyphosphine (0.55 mmol, 0.166 g) was added and the reaction stirred under nitrogen for 1 h. The reaction was diluted with dichloromethane and extracted with sodium bicarbonate and brine. The dried organic layer was concentrated and purified by silica chromatography. The material ((II) or (III)) was eluted with  $\text{CHCl}_3/\text{MeOH}$  49:1 and stored dry in a desiccator until use in DNA synthesis.

During oligonucleotide synthesis, nucleotides (II) or (III) can be used as conventional monomers to incorporate a protected thiol functionality. All other protecting groups were removed from the oligonucleotides during purification, the thiol protecting group was removed immediately prior to use. The thiol protecting group in (II) is removed by DTT and in (III) by silver nitrate to give the free thiol. The oligonucleotide was purified by reverse phase HPLC and stored under nitrogen until used.

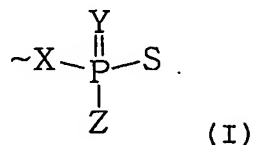


# CLAIMS

1. A hairpin polynucleotide, having a loop and a stem region, characterised in that a sulfur-based nucleophile is attached to an internal nucleotide in the hairpin through a linker to enable binding to a solid support.

2. The hairpin polynucleotide as claimed in claim 1 wherein the internal nucleotide is present in the loop of the hairpin.

3. The hairpin polynucleotide as claimed in claim 1 or claim 2 wherein the sulfur-based nucleophile is a thiol (~SH) or a moiety of the formula (I):



(wherein ~ denotes the bond or linker connecting the sulfur-based nucleophile to the remainder of the polynucleotide; X represents an oxygen atom, a sulfur atom or a group NR, in which R is hydrogen or an optionally substituted C<sub>1-10</sub> alkyl; Y represents an oxygen or a sulfur atom; and Z represents an oxygen atom, a sulfur atom or an optionally substituted C<sub>1-10</sub> alkyl group).

4. The hairpin polynucleotide as claimed in claim 3 wherein Y is oxygen, sulfur or NR.

6. The hairpin polynucleotide as claimed in any one of claims 3 to 5 wherein Z is an oxygen or sulfur atom or a methyl group.

5 7. The hairpin polynucleotide as claimed in any one of claims 3 to 6 wherein the sulfur-based nucleophile is a thiophosphate moiety.

8. The hairpin polynucleotide as claimed in any one  
10 preceding claim comprising a DNA or an RNA.

9. The hairpin polynucleotide as claimed in claim 8 wherein the thiophosphate moiety is attached to a modified nucleotide.

15

10. The hairpin polynucleotide as claimed in claim 9 wherein said modified nucleotide is an abasic nucleotide.

20

11. A hairpin polynucleotide as claimed in any one preceding claim wherein said linker is selected from the group comprising polyethylene glycol of formula  $-(CH_2-CH_2-O)_m$  (wherein m is an integer of from about 1 to about 600), dextrose, peptides, nucleic acids or modified or unmodified chain of formula  $-(CH_2)_n$  (wherein n is an integer of from  
25 about 1 to about 1,500).

30

12. A hairpin polynucleotide as claimed in claim 11 wherein the linker comprises a modified chain of formula  $-(CH_2)_n$  wherein n is less than 100 and the modifications comprise the replacement of one or more than one  $CH_2$  units for functional groups selected from the group comprising ketones, esters, amines, amides, ethers, thioethers, sulfoxides, sulfones, alkene, alkyne, aromatic or heteroaromatic moieties or cyclic aliphatic moieties.

35

13. The hairpin polynucleotide as claimed in claim 12 wherein the modified chain comprises one or more amide bonds and one or more carbon-carbon triple bonds.

5 14. The hairpin polynucleotide as claimed in any one of claims 11 to 13 wherein the linkers comprises a propargylamino unit.

10 15. The hairpin polynucleotide as claimed in any one preceding claim comprising a first target polynucleotide attached to the 5' end of the hairpin.

16. The hairpin polynucleotide as claimed in claim 15 wherein said first target polynucleotide is genomic DNA.

15

17. The hairpin polynucleotide as claimed in claim 15 or claim 16 wherein said first target polynucleotide is human genomic DNA.

20 18. The hairpin polynucleotide as claimed in any one preceding claim comprising a primer attached to the 3' end of the hairpin.

25 19. The hairpin polynucleotide as claimed in any one preceding claim wherein the stem comprises a 5 to 25 base pair double-stranded region.

20. The hairpin polynucleotide as claimed in any one preceding claim wherein the loop comprises 2 or more non-  
~~hydrophobic residues.~~

22. The hairpin polynucleotide as claimed in claim 21 wherein said linker moiety comprises PEG.

5 23. A method of making a hairpin polynucleotide, as defined in any one preceding claim, comprising attaching the sulfur-based nucleophile to said internal nucleotide before, after or during formation of the hairpin polynucleotide.

10 24. An array of hairpin polynucleotides as defined in any one of claims 1 to 22 immobilised on a surface of a solid support.

15 25. The array as claimed in claim 24 which is a single molecular array.

26. The array as claimed in either claim 24 or claim 25 wherein said solid support comprises glass, ceramics, glass silicon or plastics.

20 27. The array as claimed in any one of claims 24 to 26 wherein said solid is a glass slide.

25 28. The array as claimed in any one of claims 24 to 27 wherein the hairpin polynucleotides are immobilised by covalent bonding.

30 29. The array as claimed in claim 28 wherein said covalent bonding is formed between the sulfur-based nucleophile and an electrophilic group displayed on the surface of the solid support.

35 30. The array as claimed in claim 29 wherein said electrophilic group is attached to a silicon atom.

31. The array as claimed in claim 30 wherein said surface is modified so that it in part comprises a silane of formula  $R_nSiX_{(4-n)}$  (where R is an inert moiety that is displayed on the surface of the solid support, n is an integer of from 1 to 4 and X is or comprises a reactive leaving group).

32. The array as claimed in any one of claims 29 to 31 wherein the electrophilic group is formed from bromoacetamide functionality.

10

33. A method of making an array as defined in any one of claims 24 to 32 comprising the steps of:

15

- (i) preparing a plurality of hairpin polynucleotides as defined in any one of claims 1 to 22; and
- (ii) immobilising said hairpin polynucleotides to a surface of a solid support so as to form said array.

20

34. The method of making an array of hairpin polynucleotides as claimed in claim 33 comprising an additional subsequent step of ligating a second target polynucleotide to each hairpin polynucleotide after they have been immobilised to the surface of the solid support.

25

35. The method of making an array as claimed in claim 34 wherein said second target polynucleotide is genomic DNA.

36. The method of making an array as claimed in claim 34 or claim 35 wherein said second target polynucleotide is human genomic DNA.

nucleotide in the form of a double-stranded DNA to the hairpin polynucleotide and removing the other strand after the ligation.

5 38. A device comprising an array as defined in any one of claims 24 to 32.

39. Use of the device of claim 38 in the interrogation of said hairpin polynucleotides.

10

40. The use as claimed in claim 39 in an analytical procedure to determine the sequence of the first target polynucleotide.

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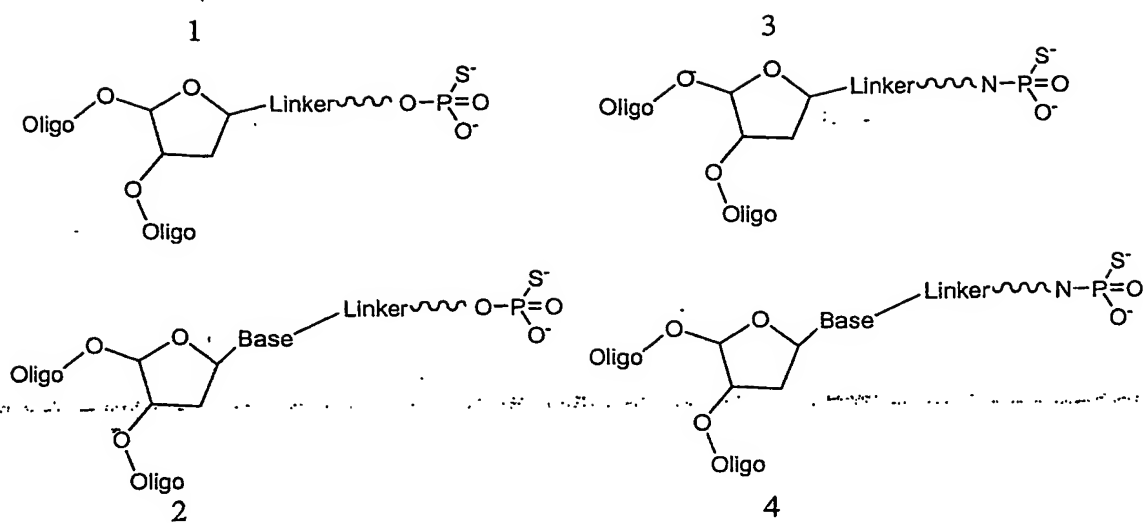
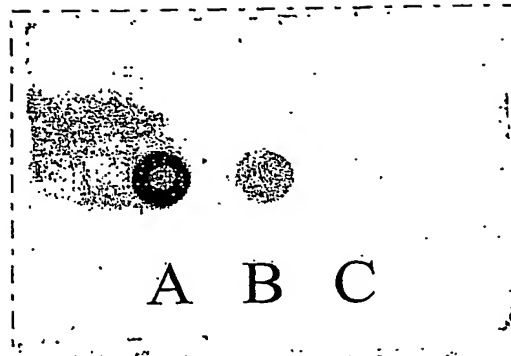


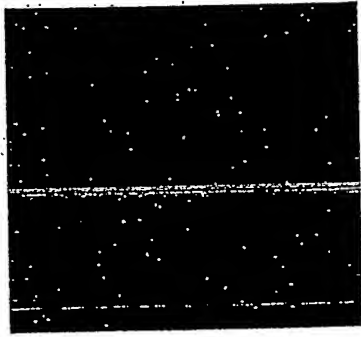
Fig. 1



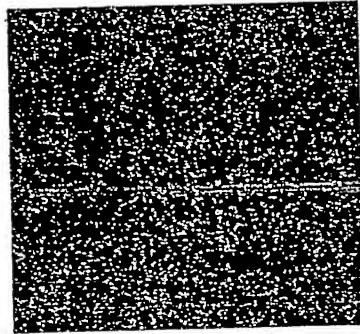
**Fig. 2**



3/3



D



E

Fig. 3

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